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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Yorio WADA, et al.
SERIAL NO. : 10/807,144
FILED : 24 March 2004
FOR : OPTICAL FILTER AND OPTICAL DEVICE
GROUP ART UNIT : (Unassigned)
Examiner : (Unassigned)

COMMISSIONER FOR PATENTS
P. O. Box 1450
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97 & § 1.98**


S I R:

In conformance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and § 1.97(b)(3), the references listed on the attached form PTO-1449 are hereby brought to the Examiner's attention.

In accordance with the requirements of 37 C.F.R. § 1.98, copies of the references are submitted herewith. The references were cited in the International Search Report of PCT/JP2004/003975. A copy of the Search Report is enclosed. The article by William H.. Southwell has already been submitted, but is again listed on the PTO-1449 to correct a spelling error in the title of the article.

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear in the "references cited" on any patent to issue therefrom.

Respectfully submitted,


John C. Altmiller
(Reg. No. 25,951)

Dated: 3 August 2004

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FORM PTO-1449
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT(S)



Atty Docket No. : 12706/10
 Serial No. : 10/807,144
 Inventors : Yorio WADA et al.
 Filed : 24 March 2004
 Group Art Unit :
 Examiner :

U.S. PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Patent Number</u>	<u>Patent Date</u>	<u>Name</u>	<u>Class/ Subclass</u>	<u>Filing Date</u>
	6,115,180	09/05/00	HIRAI et al.	359/586	

FOREIGN PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Class/ Subclass</u>	<u>Translation Yes No</u>
	2000-009928	01/14/00	Japan		ABS.
	0 967 496	12/29/99	EPO		N/A

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
Initial

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| <u>Examiner
Initial</u> | 1. | William H. Southwell: <i>Using Apodization Function to Reduce Sidelobes in Rugate Filters</i> , Appl. Opt., Vol. 28 (1989) pp. 5091-5094 |
|-----------------------------|----|--|

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.